



Section 5

PATENT APPLICATION

Attorney Docket No. 69971

Date: July 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willebrand, Heinz

Appln No.: 09/835,866

Filed: April 16, 2001

For: AN INTEGRATED ENVIRONMENTAL
CONTROL AND MANAGEMENT SYSTEM
FOR FREE-SPACE OPTICAL
COMMUNICATION SYSTEMS

CERTIFICATE OF MAILING

I hereby certify that this paper is being
deposited with the United States Postal
Service as first class mail in an envelope
addressed to: Commissioner for Patents,
Washington, D.C. 20231, on this date,

7/25/01

Richard E. Wawryzniak
Registration No. 36,048
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated June 8, 2001,
enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Five (5) sheets of substitute drawings in compliance with
37 C.F.R. § 1.84 having the appropriate margins.
- (X) An executed Declaration for Patent Application, including Power
of Attorney.
- (X) Assignment(s) of the invention to LightPointe Communications,
Inc., and Recordation Form Cover Sheet - Patents Only - Form
PTO-1595.
- (X) A check in the amount of \$40.00 to cover the fee for recording
the assignment(s).
- (X) Fee Calculation:

Fee Calculation For Claims As Filed

() Provisional Application Basic Fee \$ 150.00 \$ _____
• Surcharge under 37 C.F.R. § 1.16(1) \$ 50.00 \$ _____

or

(X) Non-Provisional Utility Application \$710.00 \$ 710.00

Basic Fee

• Independent 5 - 3 = 2 x \$ 80.00 = \$ 160.00
Claims

• Total Claims 30 - 20 = 10 x \$ 18.00 = \$ 180.00

• Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

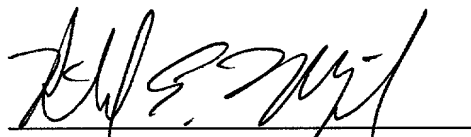
Total Filing and/or Surcharge Fee \$ 1,180.00

(X) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ 590.00

(X) A check in the amount of \$590.00 to cover the Filing and/or Surcharge Fee is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate.

July 25, 2001


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09/835,866-09971



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,866	JUL 3 0 2001 04/16/2001	Heinz Willebrand	69971

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

CONFIRMATION NO. 5585

FORMALITIES LETTER



OC000000006164620

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$170.
 - \$90 for 10 total claims over 20.
 - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 590.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/01/2001 MAHME1 00000024 09835866

01 FC:201
02 FC:202
03 FC:203
04 FC:205

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A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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